

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Thomas P. Sassi

Serial No.: 10/087266

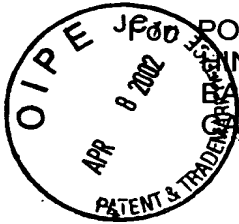
Filed: October 25, 2001

§ Group Art Unit: Not Yet Known

§ Examiner: Not Yet Known

§ Docket No.: 00025-01

§ Date: March 28, 2002



POLYMERIC ARTICLES CONTAINING  
CONDENSED AMINE LIGHT STABILIZERS  
BASED ON MULTI-FUNCTIONAL  
CARBONYL COMPOUNDS

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Applicant respectfully submits this Supplemental Information Disclosure Statement (IDS) pursuant to 37 C.F.R. §1.97 and §1.98 in order to comply with the duty of disclosure under 37 C.F.R. §1.56.

There was a typographical error in the IDS submitted on October 25, 2001. The Patent submitted in the IDS was U.S. Patent No. 4,223,412. It should have been U.S. Patent No. **4,233,412**. A copy of the correct Patent is enclosed herewith.

Identification of the listed documents is not to be construed as an admission of Applicant or Attorneys for Applicant that such reference is available as "prior art" against the subject application.

No fee is believed due since this IDS is being submitted prior to the first Office Action pursuant to 37 C.F.R. §1.97(b) (3). Should any fee be required, please charge to Deposit Account No. **03-4083**.

The Examiner is requested to consider and to make this document of record during prosecution in the subject application.

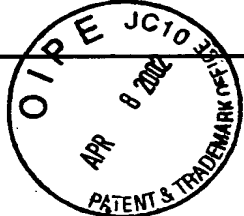
Respectfully submitted,

James A. Jubinsky  
Attorney for Applicant  
Registration No. 42,700  
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Stamford, CT 06904-0060

**CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)**Applicant(s): **Thomas P. Sassi**

Docket No.

**00025-01**Serial No.  
**10/087266**Filing Date  
**October 25, 2001**Examiner  
**Not Yet Known**Group Art Unit  
**Not Yet Known**Invention: **Polymeric Articles Containing Hindered Amine Light Stabilizers Based on  
Multi-Functional Carbonyl Compounds**I hereby certify that this **Supplemental Information Disclosure Statement**  
(Identify type of correspondence)is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The  
Assistant Commissioner for Patents, Washington, D.C. 20231 on **March 28, 2002**  
(Date)**Mary E. Miller**

(Typed or Printed Name of Person Mailing Correspondence)

  
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**CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)**Applicant(s): **Thomas P. Sassi**

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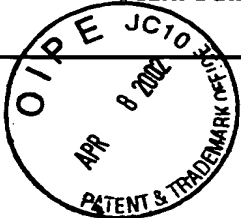
Examiner

**Not Yet Known**

Group Art Unit

**Not Yet Known**

Invention: **Polymeric Articles Containing Hindered Amine Light Stabilizers Based on  
Multi-Functional Carbonyl Compounds**



I hereby certify that this **Information Disclosure Citation with Cited References**  
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**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
00025-01

In Re Application Of:

**Thomas P. Sassi**

Serial No.  
10/087266

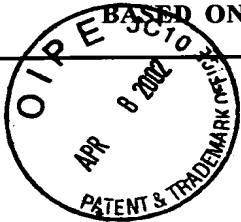
Filing Date  
October 25, 2001

Examiner  
Not Yet Known

Group Art Unit  
Not Yet Known

Title:

**POLYMERIC ARTICLES CONTAINING HINDERED AMINE LIGHT STABILIZERS  
BASED ON MULTI-FUNCTIONAL CARBONYL COMPOUNDS**



Address to:

**Assistant Commissioner for Patents  
Washington, D.C. 20231**

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
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In Re Application:

Thomas P. Sassi

Serial No.

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Filing Date

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Examiner

Not Yet Known

Group Art Unit

Not Yet Known

**POLYMERIC ARTICLES CONTAINING HINDERED AMINE LIGHT STABILIZERS  
BASED ON MULTI-FUNCTIONAL CARBONYL COMPOUNDS**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 03-4083 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax No. \_\_\_\_\_) on \_\_\_\_\_

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on **March 28, 2002** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Mary E. Miller

Typed or Printed Name of Person Mailing Certificate

\*This certificate may only be used if paying by deposit account.

*James A. Jubinsky*  
Signature

James A. Jubinsky

Registration No. 42,700

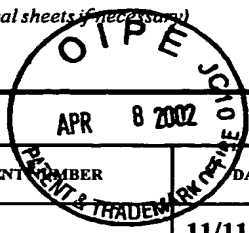
Dated: March 28, 2002

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CC:

# **INFORMATION DISCLOSURE CITATION**

(Use several sheets if necessary)



Docket Number (Optional)

00025-01

Application Number

10/087266

Applicant(s)

Thomas P. Sassi

Filing Date

October 25, 2001

Group Art Unit

Not Yet Known

## **U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	4,233,412	11/11/80	Rody et al.	525	167	11/07/78

## **FOREIGN PATENT DOCUMENTS**

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO

## **OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**


EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.